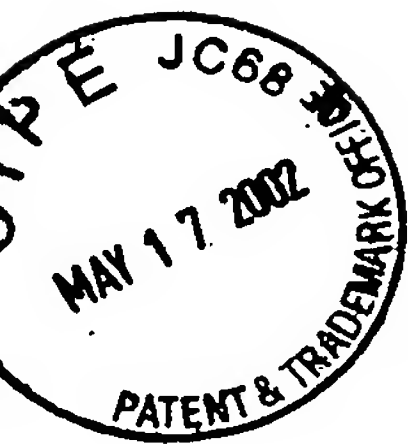


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(53)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Jon Ocel et al.

Examiner: Unknown

Serial No.: 10/056,807

Group Art Unit: Unassigned

Filed: January 25, 2002

Docket No.: M190.134.101

Date Due: May 18, 2002

Title: FLUID-ASSISTED ELECTROSURGICAL INSTRUMENT WITH
SHAPEABLE ELECTRODE

Box Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

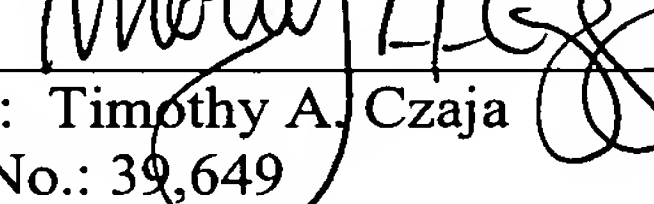
- ☒ Transmittal Sheet containing Certificate of Mailing (1 pg.).
- ☒ Notice to File Missing Parts of Application (1 pg.).
- ☒ Communication Re: Missing Parts (1 pgs.).
- ☒ Executed Declaration and Power of Attorney Document (3 pgs.).
- ☒ Assignment of Invention to **Medtronic, Inc.** (6 pgs.); and a Recordation Form Cover Sheet (1 pg.).
- ☒ Check in the amount of \$40.00 for filing of Assignment Document.
- ☒ Checks in the amount of \$130.00 for the Missing Parts Surcharge and \$1,154.00 to cover the base filing fee.
- ☒ Return Postcard.

If an additional fee is required due to changes to the claims, the fee has been calculated as follows:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	43 - 20 =	23	x \$18.00 =	\$414.00
INDEPENDENT CLAIMS	3 - 3 =	0	x \$42.00 =	\$0.00
[] MULTIPLE DEPENDENT CLAIMS PRESENTED				\$0.00
BASIC FEE				\$740.00
TOTAL				\$1,154.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. At any time during the pendency of the application, please charge any additional fees or credit overpayment to Deposit Account No. 500471.

DICKE, BILLIG & CZAJA, P.A.
701 Building, Suite 1250
701 Fourth Avenue South
Minneapolis, MN 55415

By: 
Name: Timothy A. Czaja
Reg. No.: 39,649

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on this 8th day of May, 2002.

By: 
Name: Timothy A. Czaja

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jon Ocel et al.

Examiner: Unknown

Serial No.: 10/056,807

Group Art Unit: Unassigned

Filed: January 25, 2002

Docket No.: M190.134.101

Date Due: May 18, 2002

Title: FLUID-ASSISTED ELECTROSURGICAL INSTRUMENT WITH
SHAPEABLE ELECTRODE

COMMUNICATION RE: MISSING PARTS

Box MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice for Filing Missing Parts of Application" (see enclosed copy), an executed Declaration, Power of Attorney, and Petition by the named inventors is enclosed. Also enclosed is a check in the amounts of \$130.00 to cover the filing fee and a check in the amount of \$1,154.00 to cover the Missing Parts Surcharge.

Applicants assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 573-2004.

Respectfully submitted,

Jon Ocel et al.,

By their attorneys,

DICKE, BILLIG & CZAJA, P.A.
701 Building, Suite 1250
701 Fourth Avenue South
Minneapolis, MN 55415
Telephone: (612) 573-2004
Facsimile: (612) 573-2005

Dated: May 8, 2002
TAC:jmc

Timothy A. Czaja
Timothy A. Czaja
Reg. No. 39,649

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope address to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C., 20231 on this 8th day of May, 2002.

By Timothy A. Czaja
Name: Timothy A. Czaja



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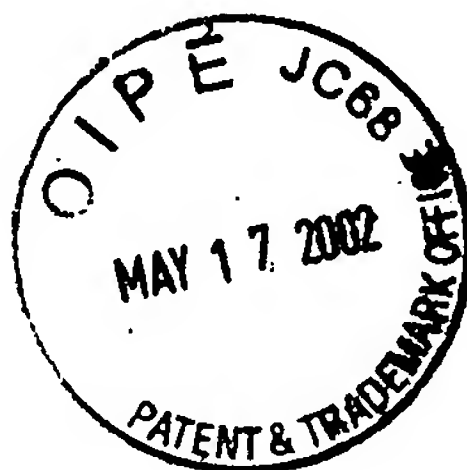
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,807	01/25/2002	John Ocel	P-10412.00 (M190.134.101)

CONFIRMATION NO. 9381

FORMALITIES LETTER



DICKE, BILLIG & CZAJA
701 Building, Suite 1250
701 Fourth Avenue South
Minneapolis, MN 55415



Date Mailed: 03/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$414.
 ■ **\$414** for **23** total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1284.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

05/20/2002 BABRAHA1 00000077 10056807

01 FC:101
02 FC:105
03 FC:103

740.00 OP
130.00 OP
414.00 OP